

B 210A (Form 210A) (12/09)

# UNITED STATES BANKRUPTCY COURT

Southern District Of New York

In re Lehman Brothers Special Financing Inc.,

Case No. 08-13888

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HBK MASTER FUND LP

Name of Transferee

DEUTSCHE BANK AG, LONDON

Name of Transferor

Name and Address where notices to  
transferee should be sent:

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Court Claim # (if known): 17956

Amount of Claim as Filed: \$3,431,273.56

Amount of Claim Transferred: \$3,431,273.56

Date Claim Filed: 9/18/2009

Debtor: Lehman Brothers Special Financing Inc.

Phone: 214.758.6107

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different from  
above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ J. R. Smith  
Transferee/Transferee's Agent

Date: March 31, 2011

**EXHIBIT C (1)**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. ("Debtor")  
Case No. 08-13888

Claim #: 17956

**DEUTSCHE BANK AG, LONDON**, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

**HBK MASTER FUND L.P.**  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, Texas 75201  
Telephone: (214) 758-6107  
Facsimile: (214) 758-1207  
Attention: General Counsel  
Email: [legal@hbk.com](mailto:legal@hbk.com)

its successors and assigns ("Buyer"), all of Seller's right, title and interest in and to the Claim against Lehman Brothers Special Financing Inc., docketed as Claim number 17956 in United States Bankruptcy Court, Southern District of New York, Case No. 08-13888, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor, including all rights of stoppage in transit, replevin and reclamation.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated March 30, 2011.

**DEUTSCHE BANK AG, LONDON**

Name:  
Title:

  
**Ross Miller**  
Director

**Michael Sutton**  
Managing Director

**HBK MASTER FUND L.P.**

By: HBK Services LLC  
Investment Advisor

By:

Name:

  
Title: **J. Peter Gentry, Jr.**  
Authorized Signatory

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District Of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HBK MASTER FUND LP

Name of Transferee

DEUTSCHE BANK AG, LONDON

Name of Transferor

Name and Address where notices to transferee should be sent:

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Court Claim # (if known): 17957

Amount of Claim as Filed:

Contingent, Un-Liquidated

Amount of Claim Transferred:

Contingent, Un-Liquidated

Date Claim Filed: 9/18/2009

Debtor: Lehman Brothers Holdings Inc.

Phone: 214.758.6107

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ J. R. Smith

Transferee/Transferee's Agent

Date: March 31, 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**EXHIBIT C (2)**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc ("Debtor")  
Case No. 08-13555 (JMP) (Jointly Administered)

Claim #: 17957

DEUTSCHE BANK AG, LONDON, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

HBK MASTER FUND L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, Texas 75201  
Telephone: (214) 758-6107  
Facsimile: (214) 758-1207  
Attention: General Counsel  
Email: [legal@hbk.com](mailto:legal@hbk.com)

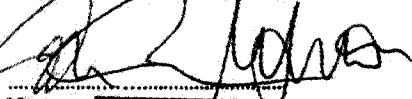
its successors and assigns ("Buyer"), all of Seller's right, title and interest in and to the Claim against Lehman Brothers Special Financing Inc., docketed as Claim number 17957 in United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (JMP) (Jointly Administered), or any other court with jurisdiction over the bankruptcy proceedings of the Debtor, including all rights of stoppage in transit, replevin and reclamation.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated March 30, 2011.

DEUTSCHE BANK AG, LONDON



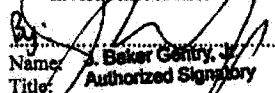
Name:  
Title:

Ross Miller  
Director

Michael Sutton  
Managing Director

HBK MASTER FUND L.P.

By: HBK Services LLC  
Investment Advisor

  
Name: J. Baker Gentry, Jr.  
Title: Authorized Signatory